



AUSTRACLEAR SETTLEMENT APPLICATION

For Settlement of ASX Clear Margin Calls and Contributions and additional Cover (CAC) Calls

_____ (the ASX Clear Participant) hereby applies to use the Austraclear System (Austraclear) to effect settlement obligations between the ASX Clear Participant and ASX Clear Pty Limited (ASX Clear). In respect of this request, we confirm the following:

- All settlements between the ASX Clear Participant and ASX Clear using Austraclear will be pursuant to the ASX Clear Pty Limited Operating Rules and subject to Austraclear's Regulations and in accordance with the procedures advised by ASX Clear.
- **ASX Clear Margin Calls** (Refer ASX Clear Rule Procedures 12.17 & 14.6.1)

This section is to be completed by Derivatives Market Participants

For the purpose of cash settlements of **ASX Clear daily margin calls** and **ASX Clear intra-day margin calls** via Austraclear, the ASX Clear Participant advises the following account details are to be established in Austraclear:

Exchange Traded Options – House Account Settlement

Austraclear Participant Name (May be ASX Clear Participant or another party)	
Austraclear Participant Code	
Nominated Settlement Bank	
Account Name	
BSB	
Account No	

Exchange Traded Options – Client Account Settlement (Indicate "As Above" if appropriate)

Austraclear Participant Name (May be ASX Clear Participant or another party)	
Austraclear Participant Code	
Nominated Settlement Bank	
Account Name	
BSB	
Account No	

PRIVACY COLLECTION STATEMENT: ASX Clear uses and discloses your personal information only for the purpose for which you provided it and pursuant to the ASX Clear Operating Rules. Your personal information will not be disclosed or used for any other purpose unless you consent or ASX Clear is otherwise permitted to do so under the *Privacy Act 1988* (Cth). Failure to provide this information may prevent ASX Clear from acting on your request. You may access your personal information by contacting the ASX Chief Privacy Officer at PO Box H224 Australia Square NSW 1215.

ASX Futures – House Account Settlement (Indicate “As Above” if appropriate)

Austraclear Participant Name (May be ASX Clear Participant or another party)	
Austraclear Participant Code	
Nominated Settlement Bank	
Account Name	
BSB	
Account No	

ASX Futures – Client Account Settlement (Indicate “As Above” if appropriate)

Austraclear Participant Name (May be ASX Clear Participant or another party)	
Austraclear Participant Code	
Nominated Settlement Bank	
Account Name	
BSB	
Account No	

<u>Authorised Signatories of ASX CLEAR PARTICIPANT</u>		
SIGNATURE	NAME (please print)	DATE (day/month/year)
1.		
2.		
<u>Authorised Signatories of AUSTRACLEAR PARTICIPANT</u>		
SIGNATURE	NAME (please print)	DATE (day/month/year)
1.		
2.		
<u>Authorised Signatories of the Nominated Settlement Bank</u>		
SIGNATURE	NAME (please print)	DATE (day/month/year)
1.		
2.		

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- ASX Clear Contributions and Additional Cover (CAC) Calls (Refer ASX Clear Rule Procedures 8.1 and 14.6.1)

This section is to be completed by All Market Participants

For the purpose of cash settlements of ASX Clear CAC calls via Austraclear, the ASX Clear Participant advises the following account details are to be established in Austraclear:

Austraclear Participant Name (May be ASX Clear Participant or another party)	
Austraclear Participant Code	
Nominated Settlement Bank	
Account Name	
BSB	
Account No	

Note: Settlements of ASX Clear CAC calls are debited against the ASX Clear Participant's Derivative Clearing System House Account.

<u>Authorised Signatories of ASX CLEAR PARTICIPANT</u>		
SIGNATURE	NAME (please print)	DATE (day/month/year)
1.		
2.		
<u>Authorised Signatories of AUSTRACLEAR PARTICIPANT</u>		
SIGNATURE	NAME (please print)	DATE (day/month/year)
1.		
2.		
<u>Authorised Signatories of the Nominated Settlement Bank</u>		
SIGNATURE	NAME (please print)	DATE (day/month/year)
1.		
2.		

- Notwithstanding purported payment through Austraclear ASX Clear reserves the right to require payment by alternate means should for any reason payment through Austraclear not be processed and cleared by the required time frame for CAC payments.
- The ASX Clear Participant releases and indemnifies ASX Clear in respect of any loss suffered by the ASX Clear Participant or any other party as a result of a payment or payments being made by ASX Clear in accordance with details provided by the ASX Clear Participant above.

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AUSTRACLEAR USE ONLY		
Authorised Signatures verified by:		
Account Type & Participant ID:		

Amended 30/09/09, 06/09/10

End of Document

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