

# ASX Futures Supervision Forum

Dear Delegate,

Last year the ASX engaged AFMA to deliver a series of 'ASX Futures Supervision Forums'. The first was held in May 09 and the second six months later in November. Each Forum attracted around 70 compliance representatives with presentations from the ASX, AFMA and the industry. Providing a neutral platform for industry and the market supervisor to come together to discuss topics of relevance, the forums offer an opportunity for open dialogue on compliance issues affecting participants in this market.

A third briefing will now be held on **Tuesday 18 May 2010**, with presentations from ASIC, ASX and AFMA. Please ensure that you RSVP as soon as possible.

## **AGENDA: THE CHANGING FACE OF FUTURES SUPERVISION**

12:30 – 2:00pm

- Welcome: **Tracey Lyons**, *Director Markets Operations, AFMA*
- Guest speaker: **Greg Yanco**, *NSW Commissioner and Senior Executive Leader - Market Participants, ASIC*
- ASX/SFE Issues: **Fiona Hoymans**, *Manager Compliance, ASX*
- Industry observations: **Tracey Lyons**, *Director Markets Operations, AFMA*
- Questions & Answers

## **Who Should Attend?**

This session has been designed for compliance personnel from SFE Full (including Proprietary) and Clearing Participant firms.

Each organisation may invite 3 – 5 delegates to this event.

A light lunch and refreshments will be provided at this event.

## **Venue:**

ASX  
Level 1  
20 Bridge Street  
Sydney NSW 2000

## **Date:**

Tuesday 18 May 2010

## **Time:**

12.30 – 2.00pm

## **To Register:**

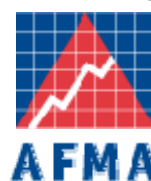
Email: [strostovtseva@afma.com.au](mailto:strostovtseva@afma.com.au)

Or

Tel: 02 9776 7965



developed by



For further information or to register: Email: [srostovtseva@afma.com.au](mailto:srostovtseva@afma.com.au) Tel: (61 2) 9776 7965 Fax: (61 2) 9776 4488

AFMA CONFIDENTIAL

The information, files and contents of this email are Confidential to AFMA and the intended recipient. Forwarding, copying, reading, or downloading of this E-mail is otherwise prohibited.

---